Photokinetic analysis of the forces and torques exerted by optical tweezers carrying angular momentum

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The theory of photokinetic effects expresses the forces and torques exerted by a beam of light in terms of experimentally accessible amplitude and phase profiles. We use this formalism to develop an intuitive explanation for the performance of optical tweezers operating in the Rayleigh regime, including effects arising from the influence of light’s angular momentum. First-order dipole contributions reveal how a focused beam can trap small objects, and what features limit the trap’s stability. The first-order force separates naturally into a conservative intensity-gradient term that forms a trap and a non-conservative solenoidal term that drives the system out of thermodynamic equilibrium. Neither term depends on the light’s polarization; light’s spin angular momentum plays no role at dipole order. Polarization-dependent effects, such as trap-strength anisotropy and spin-curl forces are captured by the second-order dipole-interference contribution to the photokinetic force. The photokinetic expansion thus illuminates how light’s angular momentum can be harnessed for optical micro-manipulation, even in the most basic optical traps.

The intrinsic spin angular momentum carried by circularly polarized light can be transferred directly to an optically anisotropic object, which then experiences a tangible torque [1]. The discovery a quarter-century ago [2] that some modes of light also carry orbital angular momentum created a revolution in optical micromanipulation because this form of angular momentum can be transferred directly to isotropic and anisotropic objects alike [3–7]. The orbital angular momentum content of a beam is governed by phase gradients, rather than spatial variations in the polarization, and thus is very amenable to experimental control. Considerable attention therefore has been devoted to harnessing the forces and torques arising from phase gradients in specially structured beams of light [8].

The distinction between spin angular momentum and orbital angular momentum becomes blurred in strongly focused beams of light because gradients of the polarization couple to gradients in the phase through Maxwell’s equations. The resulting spin-to-orbital conversion [9–11] provides a subtle mechanism for light’s spin to influence the motion of optically isotropic particles [12]. This mechanism vanishes, however, in paraxial beams of light.

Recently, an alternative avenue for spin-dependent micromanipulation has been identified [13–15] that is based on forces arising from the curl of the spin angular momentum density. Unlike spin-to-orbit conversion, this mechanism operates even in collimated beams of light [16, 17].

The forces and torques exerted by any beam of light on any illuminated object can be computed with the Lorenz-Mie theory of light scattering and its generalizations [18, 19]. These numerical techniques, however, do not inherently distinguish how distinct characteristics of a beam of light contribute to different features of the force and torque landscape. To elucidate these relationships, we apply the theory of photokinetic effects [17] to a simple yet useful model system: the single-beam optical traps conventionally known as optical tweezers [20].

The results of this analysis apply quantitatively in the Rayleigh limit, for objects substantially smaller than the wavelength of light. More generally, this approach provides guidance for optimizing the design and operation of optical traps.

FIELDS IN OPTICAL TWEEZERS

We describe the optical tweezer as a focused monochromatic Gaussian beam propagating along $\hat{z}$ with frequency $\omega$ and uniform polarization $\hat{\epsilon}$. The light’s electric field may then be written in cylindrical coordinates, $\mathbf{r} = (r, \theta, z)$, as [21]

$$E(\mathbf{r}, t) = u(\mathbf{r}) e^{i\phi(\mathbf{r})} e^{-i\omega t} \hat{\epsilon}$$

with amplitude profile

$$u(\mathbf{r}) = u_0 \sqrt{\frac{z_R}{z^2 + z_R^2}} \exp \left( -\frac{r^2}{w_0^2} - \frac{z^2}{z_R^2} \right)$$

and phase profile

$$\phi(\mathbf{r}) = kz + \frac{1}{2} k \frac{z r^2}{z^2 + z_R^2} - \tan^{-1} \left( \frac{z}{z_R} \right)$$

where $k = n_m \omega / c$ is the wavenumber of light in a medium of refractive index $n_m$ and $w_0$ is the diameter of the beam’s waist at best focus in the plane $z = 0$. The Rayleigh range, $z_R = \frac{1}{2} k w_0^2$, characterizes how sharply the beam is focused. A Gaussian beam’s cross-sectional area doubles over this distance.

In an optical train that is free of geometric aberrations, the diameter of the beam waist is related to the numerical aperture, NA, of the lens that brings the light to a focus by

$$w_0 \approx \frac{2\pi}{n_m k \text{NA}}.$$
In the same approximation, the Rayleigh range is related to the numerical aperture by

\[ k z R \approx \frac{2\pi^2}{n_m^2 \text{NA}^2}. \]  

**PHOTOKINETIC EFFECTS AT DIPOLE ORDER**

**Electric dipole forces**

The light’s electric field induces an oscillating electric dipole moment in an illuminated particle located at position \( \mathbf{r} \),

\[ \mathbf{p}(\mathbf{r}, t) = \alpha_e \mathbf{E}(\mathbf{r}, t), \]

where \( \alpha_e \) is the object’s complex dipole polarizability. The polarizability of a dielectric particle of refractive index \( n_p \), whose radius \( a_p \) is smaller than the wavelength of light, \( k a_p < 1 \), is given approximately by [22, 23]

\[ \alpha_e = \frac{\alpha_e^{(0)}}{1 - \frac{1}{6} \frac{n_p^2 - n_m^2}{n_p^2 + 2n_m^2}} \]

where the Clausius-Mossotti polarizability is

\[ \alpha_e^{(0)} = 4\pi \varepsilon_0 n_m^2 a_p^3 \frac{n_p^2 - n_m^2}{n_p^2 + 2n_m^2} \]

and where \( \varepsilon_0 \) is the vacuum permittivity. The sign of \( \alpha_e^{(0)} \) depends on whether the particle has a higher refractive index than the medium. This, in turn, determines the sign of the real part of \( \alpha_e \); the imaginary part is always positive.

The time-averaged Lorentz force acting on this induced dipole moment is [24]

\[ \mathbf{F}_e(\mathbf{r}) = \frac{1}{2} \Re \{ \mathbf{p}(\mathbf{r}, t) \nabla \mathbf{E}^*(\mathbf{r}, t) \}, \]

where \( \Re \{ \} \) represents the real part of its argument. This contribution to the optical force can be expressed in terms of the field’s amplitude and phase profiles as [15, 17],

\[ \mathbf{F}_e(\mathbf{r}) = \frac{1}{4} \alpha'_e \nabla u^2(\mathbf{r}) + \frac{1}{2} \alpha''_e u^2(\mathbf{r}) \nabla \phi(\mathbf{r}), \]

where \( \alpha'_e \) and \( \alpha''_e \) are the real and imaginary parts of \( \alpha_e \), respectively. The first term on the right-hand side of Eq. (7) is the manifestly conservative intensity-gradient force responsible for trapping the object. The second describes a force that does not conserve mechanical energy and so contributes to the radiation pressure [8, 15, 17]. This distinction may be appreciated because \( \nabla \times [u^2(\mathbf{r}) \nabla \phi(\mathbf{r})] \neq 0 \) in general, so that the second term cannot be expressed as the gradient of a potential. The competing influences of conservative and non-conservative optical forces were identified in the original description of optical tweezers [20]. Reformulating the theory in terms of the beam’s amplitude and phase profiles affords a clear interpretation of their origin.

Substituting the optical tweezer’s field from Eq. (1) into the expression for the photokinetic force in Eq. (7) yields

\[ \mathbf{F}_e(\mathbf{r}) = -\left( 1 - \frac{\alpha''_e}{\alpha'_e} \right) \frac{r}{R} \nabla \phi(\mathbf{r}) \]

\[ - \left( \frac{1}{k z R} - \frac{r^2}{z^2 + z_0^2} \right) \frac{z}{R} \hat{z} \]

\[ + \frac{\alpha''_e}{\alpha'_e} \left[ 1 - \frac{1}{k z R} + \frac{z^2}{z_0^2} - \frac{1}{2} \frac{z^2 - z_0^2}{z^2 + z_0^2} \frac{r^2}{z R} \right] \hat{z}, \]

where the force scale is set by

\[ F_0(\mathbf{r}) = \frac{1}{2} \frac{k a_p}{z_0^2 + z R^2} u^2(\mathbf{r}) \]

No force acts on index-matched particles, for which \( \alpha'_e = 0 \). The overall sign of \( \mathbf{F}_e(\mathbf{r}) \) similarly depends on the particle’s refractive index relative to that of the medium.

**Small displacements: Hookean trap**

For particles that are confined near the origin, the first-order photokinetic force reduces to a Hookean restoring force

\[ \lim_{r,z \to 0} \mathbf{F}_e(\mathbf{r}) = -\frac{k a_p}{\alpha'_e} \left[ \frac{r}{z R} \nabla \phi(\mathbf{r}) + \frac{z - z_0}{k z R} \hat{z} \right] \]

with an axial offset,

\[ z_0 = \frac{\alpha''_e}{\alpha'_e} z R(k z R - 1), \]

arising from radiation pressure. This offset itself is small compared with the wavelength of light because \( \alpha''_e \ll \alpha'_e \) for small particles.

At this level of approximation, the force exerted by an optical trap conserves mechanical energy. It transfers no angular momentum and exerts no torques. A trapped colloidal particle therefore comes into thermodynamic equilibrium with the surrounding fluid, and fluctuates in place. Observing departures from equilibrium requires either larger-scale displacements or a mechanism for transferring light’s angular momentum to the trapped particle. Both dipole-order departures from equilibrium and higher-order polarization-dependent torques are discussed below.

Assuming the particle is trapped near the focal point at the origin of the coordinate system, the radial stiffness of the trap exceeds the axial stiffness by a factor of
The trap is radially unstable downstream of \( z \) then suggests that increasing NA reduces \( z \) confinement inherently limits the axial range over which both high- and low-index particles. This loss of radial \( F_r \) as a superposition of acting on a 100 nm diameter silica sphere in water, computed the focus. The radial component of \( F \) ability to capture particles by pulling them inward toward its practical range, its radial stability also limits its ability only for axial positions \( z \) should be 7.7, which agrees well with the measured value of 7.1 reported for a polystyrene sphere of radius \( \alpha_p = 0.11 \mu m \) in optical tweezers at a vacuum wavelength of 1064 nm [27].

**Stable and unstable equilibria**

Although a trap’s axial stability might appear to limit its practical range, its radial stability also limits its ability to capture particles by pulling them inward toward the focus. The radial component of \( F_c(\mathbf{r}) \) vanishes along the axis, \( r = 0 \). The radial component of \( F_c(\mathbf{r}) \) from Eq. (8a) reveals that this line of radial equilibria is stable only for axial positions \( z \) less than

\[
z_c = z_R \frac{z_R}{\alpha'_c} = \frac{3}{2} \frac{z_R}{k \alpha_p^3} \frac{n_p^2 + 2n_m^2}{n_p^2 - n_m^2}.
\]

The trap is radially unstable downstream of \( z = z_c \) for both high- and low-index particles. This loss of radial confinement inherently limits the axial range over which optical tweezers can capture small objects. Equation (3) then suggests that increasing NA reduces \( z \) and thus restricts the range over which a trap can acquire its target.

The full expression for \( F_c(\mathbf{r}) \) features two points of mechanical equilibrium along the optical axis:

\[
z_{\pm} = \frac{1}{2k} \left[ \frac{\alpha'_c}{\alpha''_c} \pm \sqrt{\left( \frac{\alpha'_c}{\alpha''_c} \right)^2 - 4kz_R(kz_R - 1)} \right], \quad (11)
\]

with the positive choice representing an unstable equilibrium and the negative choice representing the location of the trap. These solutions exist only for particles satisfying

\[
\frac{1}{k^3 \alpha_p^3} \frac{n_p^2 + 2n_m^2}{n_p^2 - n_m^2} > \frac{4\pi}{3} \frac{1}{n_m NA} \sqrt{\left( \frac{2\pi}{n_m NA} \right)^2 - 2}. \quad (12)
\]

Other particles are repelled from the focus. This condition for stable trapping favors using high-NA lenses, particularly for small, high-index particles. The stable trapping solution, \( z_{-} \), reduces to the linear solution, \( z_0 \), in the limit that \( \alpha''_c < \alpha'_c \).

**Non-conservative forces and angular momentum**

The full expression for \( F_c(\mathbf{r}) \) includes a non-conservative part that contributes to the curl of the force,

\[
\nabla \times F_c(\mathbf{r}) = 2F_0(\mathbf{r}) \frac{\alpha''_e}{\alpha'_e} \frac{kR}{z_R} \left( 1 - \frac{1}{kz_R} + \frac{1}{2} \frac{r^2}{kR^2} \right) \hat{\theta}.
\]

The solenoidal component of \( F_c(\mathbf{r}) \) tends to bias the thermal fluctuations of a trapped colloidal particle into a toroidal roll that circulates in the same sense as \( \nabla \times F_c(\mathbf{r}) \). Such Brownian vortex circulation has been observed experimentally [30–32] and analyzed theoretically [33, 34].

Figure 2 illustrates Brownian vortex circulation in the first-order force field of an optical tweezer described by Eq. (8). A small particle trapped near the focus of the Gaussian beam is displaced by random thermal forces away from its point of mechanical equilibrium and into the non-conservative force field. Over time, or in an ensemble average, its trajectory circulates around a vortex core that is indicated by a dashed circle in Figure 2(a). The streamlines plotted in Figure 2 trace out the computed Brownian vortex circulation [34] of a 100 nm-diameter silica sphere diffusing in water under the influence of a strongly focused optical trap. It should be emphasized that this circulation represents a bias of a few percent on the otherwise random Brownian motion, and is only seen experimentally with extensive time averaging.

The circulating probability current of the trapped particle is associated with an angular momentum density that the light imparts to the mechanical system independent of its polarization or phase profile. This angular momentum arises from transfer of linear momentum from the nonuniform beam of light, and is directed transverse...
Higher-order corrections to the electric dipole force from Eq. (8) is too simple for this. The more exotic phenomena arise when the nonconservative component of the force field’s direction of circulation need not simply follow the optical axis. Because the vortex core forms a closed loop, the system’s total angular momentum vanishes, as expected.

Brownian vortex circulation is interesting principally as a manifestation of a largely overlooked class of stochastic machines. Brownian vortices differ from conventional deterministic machines in that they do nothing at all in the absence of noise. They also are different from other noisy machines, such as thermal ratchets and Brownian motors, whose motive force fields are time-dependent. The force field that drives a Brownian vortex is static.

Still more remarkably, the number of toroidal rolls and their direction of circulation need not simply follow the direction of the nonconservative force. The competition between advection and diffusion in such systems can cause topological transitions and flux reversals in the pattern of circulation [37, 33, 34]. Such exotic phenomena arise when the nonconservative component of the force fields has a projection onto the conservative component that changes sign with position [34]. The electric dipole force from Eq. (8) is too simple for this. The more exotic features of Brownian vortex circulation require the higher-order corrections to $F_{\text{e}}(r)$ that arise for larger particles [31, 34].

**Magnetic dipole forces**

The light’s magnetic field also contributes to photokinetic forces, even for purely dielectric particles. Surface currents associated with the oscillating induced electric dipole not only couple directly to the magnetic field through the standard Lorentz force [35], but also contribute to the magnetic polarizability, $\alpha_m$, through field-induced distortions. The result is a time-averaged magnetic dipole force $F_m(r)$ that is term-for-term analogous to Eq. (7).

The magnetic field’s amplitude and phase profiles are related to those of the electric field through the Maxwell-Faraday equation

$$\mathbf{B}(r, t) = -\frac{i}{\omega} \nabla \times \mathbf{E}(r, t)$$

for monochromatic light, where $\mu$ is the permeability of the medium. Substituting Eq. (1) into Eq. (14) yields

$$\mathbf{B}(r, t) = \frac{1}{c} u(r) e^{i\omega r} e^{-i\omega t} \left[ b(r) \hat{\epsilon}_m + \frac{y}{z - izR} \hat{\epsilon}_z \right],$$

where $\hat{\epsilon}_m = \hat{z} \times \hat{\epsilon}$ and where

$$b(r) = 1 - \frac{1}{k(z_R + iz)^2} + \frac{r^2}{2(z_R + iz)^2}.$$  

The additional transverse structure described by Eq. (16) and the axial component of the magnetic field both vanish in the paraxial approximation. Together, Eqs. (1) and (15) describe a Gaussian TEM$_{00}$ mode in the paraxial limit.

The magnetic dipole contribution to the photokinetic force has the same functional form as the electric dipole contribution. Because $\alpha''_m/\alpha'_m$ need not be equal to $\alpha''_e/\alpha'_e$, however, the magnetic contribution tends to displace the equilibrium point and critical point. This displacement is small for a dielectric particle, but can be substantial for a magneto-dielectric particle [36].

As a concrete example, a 100 nm-diameter silica sphere in room-temperature water has $\alpha''_m/\alpha'_m = 0.018$ at $\lambda = 532$ nm, and $\alpha''_m/\alpha'_m = 0.001$. According to Eq. (9b), the electric-dipole contribution to the displacement is 10% of the Rayleigh range in a diffraction-limited trap. The additional displacement due to magnetic dipole forces amounts to just 7% of that. The equivalent calculation for a chromium sphere, $n_p = 3.0343 + 3.3300i$, yields $\alpha''_m/\alpha'_m = 0.98$ and $\alpha''_m/\alpha'_m = -1.13$. The magnetic contribution not only has a larger magnitude than the electric, but also reverses the sign of the offset.

**ANGULAR MOMENTUM AND HIGHER-ORDER PHOTOKINETIC EFFECTS**

As for the electric dipole force, the magnetic dipole force does not depend on the light’s state of polarization. There is ample evidence, however, that polarization influences optical tweezers’ trapping characteristics.
Linearly polarized optical tweezers are measurably stiffer along the axis of polarization [27, 29, 37], an effect that is not captured by Eq. (8). Circularly polarized tweezers are observed to exert transverse forces that are not present in linearly polarized optical tweezers [13]. Such polarization-dependent effects emerge at higher order in the photokinetic expansion and provide mechanisms for light’s angular momentum to influence the motion of trapped objects.

Interference between electric and magnetic dipole scattering gives rise to a second-order photokinetic force [36],

\[ F_{em}(r) = -\frac{k^4}{12\pi\epsilon_0 c} \Re\{\alpha_c\alpha_m^* E(r,t) \times B^*(r,t)\}. \tag{17} \]

Expressed in terms of the amplitude and phase of a scalar field [17],

\[ F_{em}(r,t) = \frac{\epsilon k^3}{24\pi\epsilon_0} \Im\{\alpha_c\alpha_m^*\} \nabla u^2(r) \tag{18a} \]

\[ - \frac{\epsilon k^3}{12\pi\epsilon_0} \Re\{\alpha_c\alpha_m^*\} u^2(r)\nabla \phi(r) \tag{18b} \]

\[ - \frac{\epsilon k^3}{12\pi\epsilon_0} \Im\{\alpha_c\alpha_m^*\} \nabla \cdot T^{(a)}(r) \tag{18c} \]

\[ - \frac{\epsilon k^3}{12\pi\epsilon_0} \Re\{\alpha_c\alpha_m^*\} \nabla \cdot T^{(s)}(r), \tag{18d} \]

where

\[ T^{(s)}(r) = u^2(r) \begin{bmatrix} \epsilon_x^2 \cos \delta & 0 & 0 \\ 0 & \epsilon_y^2 \cos \delta & 0 \\ 0 & 0 & 0 \end{bmatrix} \text{ and} \tag{19a} \]

\[ T^{(a)}(r) = u^2(r) \begin{bmatrix} 0 & \epsilon_x \epsilon_y \sin \delta & 0 \\ -\epsilon_x \epsilon_y \sin \delta & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \tag{19b} \]

are the symmetric and antisymmetric components of the Maxwell stress tensor, and where we have decomposed the polarization into Cartesian components,

\[ \hat{\epsilon} = \epsilon_x \hat{x} + \epsilon_y \hat{y}. \tag{20} \]

The symbol \( \Im\{\cdot\} \) represents the imaginary part of its argument. A right-circularly polarized beam of light has \( \delta = \pi/2 \) and a linearly polarized beam has \( \delta = 0 \). Whereas Eq. (7) for \( F_e(r) \) accounts only for the electric dipole contribution and should be augmented by the analogous result for the magnetic dipole contribution, Eq. (18) for \( F_{em}(r) \) includes both electric and magnetic contributions.

**Linear polarization: Trap anisotropy**

For small dielectric particles, the intensity-gradient contribution from Eq. (18a) tends to strengthen the trap, and the phase-gradient contribution from Eq. (18b) tends to diminish radiation pressure. The symmetric part of \( T(r) \) contributes to the force exerted by linearly polarized optical tweezers, and tends to weaken the trap along the direction of polarization. Lateral anisotropy in optical tweezers’ trapping stiffness has been observed in both experimental and numerical studies [13, 27]. Equation (18c) suggests that this effect should be reversed for magnetodielectric Rayleigh particles satisfying \( \Im\{\alpha_c\alpha_m^*\} < 0 \).

**Circular polarization: Spin-curl forces**

The antisymmetric part of \( T(r) \) comes to the fore in circularly polarized optical tweezers, contributing forces and torques involving light’s angular momentum. Light’s spin angular momentum contributes to photokinetic forces through the relationship [17]

\[ \nabla \cdot T^{(a)} = \frac{\omega}{\epsilon} \nabla \times s(r), \tag{21} \]

where

\[ s(r) = \frac{\epsilon}{2\omega} \Im\{E^*(r,t) \times E(r,t)\} \tag{22} \]

is the time-averaged spin angular momentum density carried by the beam [38–42]. In the paraxial model for circularly polarized optical tweezers,

\[ s(r) \approx \pm \frac{\epsilon}{2\omega} u^2(r) \hat{z}, \tag{23} \]

where the positive choice corresponds to right-circular polarization. The associated spin-curl [9, 14] contribution to the photokinetic force,

\[ F_{em}^{(a)}(r) = \pm \frac{\epsilon k^3}{12\pi\epsilon_0} \Re\{\alpha_c\alpha_m^*\} \partial_r u^2(r) \hat{\theta}, \tag{24} \]

tends to drive the particle around the optical axis in the right-handed sense for right-circularly polarized light (−) and in the opposite direction for left-circularly polarized light (+). This effect also has been observed experimentally [13].

Figure 3(a) and 3(b) present streamlines of the calculated dipole-order optical force, including \( F_{em}(r) \) exerted by a Gaussian beam focused at NA = 1.2 on a 100 nm-diameter silica sphere dispersed in water. The trap is indicated in these illustrations by the shaded profile, and propagates upward along \( \hat{z} \). The spin-curl contribution amounts to just 3 percent of the total optical force, but still suffices to impart a clear twist to the force field. The magnitude of this effect can be appreciated by comparing the results for right- and left-circularly polarized light in Figs. 3(a) and 3(b), respectively.

More generally, the spin-curl term described by Eq. (18d) gives rise to an azimuthal force that is proportional to the degree of circular polarization. This
is consistent with experimental observations of colloidal spheres circulating around the optical axis in such traps [13, 43]. This effect is noteworthy because it constitutes an optical torque arising from light’s spin angular momentum that acts on small objects even if they are optically isotropic.

Figure 3(c) presents experimental data, originally discussed in Ref. [13], for a 1 µm-diameter polystyrene sphere diffusing in the force field created by a right-circularly polarized optical tweezer. Although the present theory is intended for smaller particles, a sphere of this size can be tracked with nanometer precision using holographic video microscopy [13, 44]. This resolution is fine enough to resolve the subtle influence of spin-curl forces. The data in Figure 3(c) were compiled from 7000 location measurements at 33 ms intervals. These trajectory data were used to estimate the local velocity field, \( \mathbf{v}(\mathbf{r}) \), whose streamlines are plotted in the transverse plane passing through the trap’s equilibrium position. Right-handed circulation is clearly evident, with a peak drift speed of 25 nm s\(^{-1}\). The apparent spiraling of the streamlines is an artifact of the finite spatial resolution of the measurement.

The observed circulation represents a very slight bias on the particle’s Brownian motion. In the absence of thermal forces, the particle would remain stationary at the trap’s equilibrium point. The data in Figure 3(c) therefore reveal another example of a Brownian vortex, this one governed by the light’s polarization.

Unlike the toroidal roll from Figure 2, the Brownian vortex driven by spin-curl forces takes the form of a rotating cloud of probability. Its circulation reveals a net transfer of angular momentum from the circularly polarized beam of light to the mechanical system. This is striking because every element of the system is optically isotropic. The torque emerges from the influence of the light’s non-uniform magnetic field on the particle’s oscillating electric dipole moment [36], and would not arise in a uniform beam of light.

Spin-curl forces superficially resemble the forces arising from light’s orbital angular momentum. Both act transverse to the optical axis and can transfer angular momentum to illuminated objects. Orbital angular momentum acts through phase gradients in the canted wavefronts of helical modes of light; its influence arises at first order and is proportional to the local intensity. Spin-curl forces, by contrast, arise at second order and are directed by intensity gradients. Because they operate in the paraxial approximation, however, spin-curl forces should be no less influential than spin-to-orbital conversion, which emerges only in strongly converging beams [9, 11]. For published experimental studies on micrometer-scale colloidal spheres [13], the spin-curl contribution is found to dominate.

For particles smaller than the wavelength of light, higher-order multipole contributions are weaker than the dipole-order terms that we have discussed. These terms’ symmetries offer insights into the nature of their contributions. Independent multipole terms, for example, are symmetric under exchange of the fields’ components. They cannot describe spin-dependent effects, therefore, because the spin angular momentum density is antisymmetric. Additional spin-dependent terms can arise from interference between multipole contributions. These contributions may be negligible for Rayleigh particles. For larger particles, however, they might provide additional avenues for optical micromanipulation under control of light’s angular momentum.

**CONCLUSION**

The theory of photokinetic effects expresses optical forces and torques in terms of the amplitude and phase profiles of a beam of light within the framework of the conventional multipole expansion. Its goal is to elucidate relationships among experimentally accessible characteristics of the beam of light and the resulting landscape of forces and torques experienced by small illuminated objects. We have applied this methodology to the illustrative case of optical tweezers acting on Rayleigh particles in the paraxial approximation. Even this idealized model captures such subtle features as the trap’s polarization-dependent anisotropy. It also clarifies the mechanism by which light’s spin angular momentum induces a measurable force on small objects even when those objects are optical isotropic. In the case of circularly polarized optical tweezers, this force is solenoidal and causes objects to circulate around the optical axis. This behavior is reminiscent of the motion induced by transfer of orbital angular momentum from helical beams of light, but arises from the curl of the spin angular momentum density rather than the gradient of the phase.

Beyond explaining the performance of existing optical traps, photokinetic analysis offers guidance for designing new modes of light specially suited for optical micromanipulation. It promises to be particularly useful for crafting extended landscapes of force and torque through which illuminated objects can move. These landscapes can feature all of the influences that light can exert, including those arising from light’s intrinsic and extrinsic angular momentum.

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FIG. 3. Photokinetic forces in circularly polarized optical tweezers exert torques about the optical axis. (a) Streamlines of the force field for a right-circularly polarized optical tweezer, computed with Eqs. (8) and (24) for a 100 nm-diameter silica sphere in a trap formed at NA = 1.2. (b) Equivalent result for a left-circularly polarized trap. (c) Streamlines of the experimentally measured circulation of a 1 µm-diameter polystyrene sphere localized in a right-circularly polarized trap.

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